

# **Legal Fees Policy**

**April 2024** 

# ARMAGH CITY, BANBRIDGE AND CRAIGAVON BOROUGH COUNCIL

Policy Number:	GRSC/P30/V1.0
Title of Policy:	Legal Fees Policy
No of Pages	16
(including appendices):	
Version:	V1.0
Issue Date:	30 April 2024
Policy Nominated	Kate McCusker, Legal Governance & Monitoring
Officer:	Officer
Equality screened/Rural	Kate McCusker, Legal Governance & Monitoring
Impact Assessed by	Officer
Equality screening/Rural	06 Feb 2024
Impact Assessment	
date:	
Amendment Version	30 April 2024
Issue Date:	
Approved by:	Full Council: 29 April 2024
Review Date:	28 April 2027

# AMENDMENT RECORD SHEET

Remove and destroy old pages. Insert new pages as indicated.

Revision	Page	Date	Description of Revision
Number	Number	Revised	

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#### 1. INTRODUCTION

Armagh City, Banbridge and Craigavon Borough Council introduced an in-house legal function in March 2019 which deals broadly with the following practice areas:

- 1. Planning and Regulatory,
- 2. Land and Property,
- 3. Commercial, and
- 4. Public Law.

The Council's Legal Services Team (LST) regularly advises on transactions where the Council is purely reacting to the requirements of a third party, and the transaction is largely for their benefit, for example in land and property transactions and in relation to planning agreements under section 76 of the Planning Act (NI) 2011. In addition, should the transaction be particularly complex or of a specialist nature, the LST (should the specialism or capacity not be held in-house) may arrange for specialist external legal advice to be obtained (to advise on the third party transaction).

Section 79 of the Local Government Act (NI) 2014 (the "General Power of Competence") gives councils the power to do anything that individuals may do unless there is a law to prevent it from doing so. Under the General Power of Competence, the Council is able to charge third parties legal fees for time incurred (and any expenditure) on their transactions by the Council.

This Policy sets out the Council's framework for charging third parties in these circumstances.

This Policy relates to charging for LST time and any expenditure incurred in relation to the following types of transactions involving third parties:

- 1. Land
- 2. Property
- 3. Planning Agreements

However, where the third party is a charity, community group or not for profit entity no fee will be charged to them.

#### 2. PURPOSE

The purpose of this Policy is to set out the Council's approach to charging fees to third parties and to provide a list the applicable fees to be applied (Appendix 1)

#### 3. LEGAL FEES

The legal fees that will be charged by the Council are listed at Appendix 1 (the "Legal Fees").

For the avoidance of doubt, the Legal Fees are in addition to any monies paid by the third party to the Council for i.e. the acquisition of land.

The Council reserves the right, at its discretion, to apply the hourly rate listed in Appendix 1 to a transaction instead of the relevant fixed fee set out in Appendix 1. An hourly rate may be applied to a transaction if the LST deems that it is appropriate having regard to (but not limited to) the complexity, value, risk, volume of work estimated to be involved, by the Council, with the transaction.

Where Legal Fees will be charged on an hourly basis, an approximate fee estimate (for the total to be charged) will be provided to the third party at the beginning of the transaction. The estimate provided will be the minimum level and may be increased subject to the complexity/volume of work involved with the transaction.

Should external legal advisers be instructed by the Council to advise on a transaction, due to its complexity or specialist nature, the Council may also recover the fees of the external legal advisers from the third party. An approximate fee estimate (for the total to be charged) will be provided to the third party at the beginning of the transaction. The estimate provided will be the minimum level and may be increased subject to the complexity/volume of work involved with the transaction.

#### 4. LEGAL FEES REVIEW

The Legal Fees listed in Appendix 1 shall be subject to review on an annual basis by the LST and any uplift will be approved by Council via the Governance, Resources & Strategy Committee.

#### 5. PAYMENT OF LEGAL FEES

The third party shall agree, at the beginning of any transaction, to pay the Council's reasonable legal costs (as provided for under this Policy) incurred in the transaction.

The legal costs payable by a third party shall be paid to the Council prior to completion of the transaction. The Council shall issue the third party with an invoice for payment. The Council reserves the right to withhold issuing any legal documentation to the third party should payment of legal costs not be made when reasonably requested.

# Appendix 1 - Legal Fees

Transaction	Detail	Legal Fee
Freehold disposals	Sale Price up to £500k, 1% of	£1500.00
	Sale Price, Min £1500	
	Sale Price over £500k, 2% of	£1500.00
	Sale Price, Min £1500	
	Sale Price under £10k	£550.00
	Sale Price over £10k, 1% of Sale	£1,000.00
	Price, min £1000	
	Sale of freehold reversion	£550.00
Leases	Leases	£1,000.00
	Agreement preceding lease	£1,000.00
	Surrenders - simple	£750.00
	Surrenders - complex	£1,000.00
	Licence to Assign	£750.00
	Licence for Tenant works	£750.00
	Licence to sub-let	£750.00
Licence Agreement	Temporary use of land	£750.00
Deeds	Release or rectification of	£750.00
	covenant	
	Easement	£750.00
	Variation	£750.00
Wayleaves	Wayleaves	£350.00
Planning Agreements	Planning Agreements	£1,000.00
Hourly Rate	Solicitors	£200.00

#### **Appendix 2 - Equality Screening Form**

#### **Policy Scoping**

Policy Title: Legal Fees Policy

Brief Description of Policy (please attach copy if available). Please state if it is a new, existing or amended policy.

The Council may charge third parties, who transact with Council in respect of matters involving land, property and planning agreements, for the time incurred by the Council's legal team on that transaction or time incurred by an external legal adviser(s) (instructed by the Council to advise on a transaction). The re-charging of legal fees is to assist with protecting department budgets and the rate payer base.

### Intended aims/outcomes. What is the policy trying to achieve?

- Compliance with Northern Ireland Audit Office recommendations.
- Compliance with local government legislation.
- Protecting department budgets and officer time and resources.
- Seeking best value for the rate payer.

#### **Policy Framework**

Has the policy been developed in response to statutory requirements, legal advice or on the basis of any other professional advice? Does this affect the discretion available to The Council to amend the policy?

- Compliance with Northern Ireland Audit Office recommendations.
- Compliance with local government legislation.

Are there any Section 75 categories which might be expected to benefit from the policy? If so, please outline.

The policy is intended to benefit all customers regardless of equality group they fall within.

Who initiated or wrote the policy (if The Council decision, please state). Who is responsible for implementing the policy?

Who initiated or wrote the policy?	Legal, Governance and Monitoring Officer
	(Armagh City, Banbridge and Craigavon Borough Council).

Who	is	responsible	for	The Legal Services Team
impleme	entatio	n?		

Are there any factors which might contribute to or detract from the implementation of the policy (e.g. financial, legislative, other)?

No

### Main stakeholders in relation to the policy

Please list main stakeholders affected by the policy (e.g. staff, customers, other statutory bodies, community or voluntary sector, private sector)

Staff and third parties.

Are there any other policies with a bearing on this policy? If so, please identify them and how they impact on this policy.

Disposal & Acquisition Policy

#### **Available Evidence**

The Council should ensure that its screening decisions are informed by relevant data. What evidence/information (both qualitative and quantitative) have you gathered to inform this policy? Specify details for each of the Section 75 categories. For up to date <a href="S75 Borough Statistics">S75 Borough Statistics</a>

Section 75 category	Evidence
Religious belief	N/A
Political opinion	N/A
Racial group	N/A
Age	N/A
Marital status	N/A
Sexual orientation	N/A
Men and women generally	N/A
Disability	N/A
Dependants	N/A

### Needs, experiences and priorities

Taking into account the information gathered above, what are the different needs, experiences and priorities of each of the following categories in relation to this particular policy/decision?

Section 75 category	Needs, experiences and priorities
Religious belief	N/A
Political opinion	N/A
Racial group	N/A
Age	N/A
Marital status	N/A
Sexual orientation	N/A
Men and women generally	N/A
Disability	N/A
Dependants	N/A

## **Screening Questions**

# 1. What is the likely impact on equality of opportunity for those affected by this policy for each of the Section 75 categories?

Category	Policy Impact	Level of impact
		(Major/minor/none)
Religious belief	None	None
Political opinion	None	None
Racial group	None	None
Age	None	None
Marital status	None	None
Sexual orientation	None	None
Men and women generally	None	None
Disability	None	None
Dependants	None	None

# 2. Are there opportunities to better promote equality of opportunity for people within the Section 75 categories?

Category	If yes, provide	If no, provide reasons
	details	
Religious belief	No	The policy has been written with
		in compliance with
		recommendations made by the
		Northern Ireland Audit Office and
		local government legislation. It

		does not have a specific impact on any equality category.
Political opinion	No	As above
Racial group	No	As above
Age	No	As above
Marital status	No	As above
Sexual orientation	No	As above
Men and women generally	No	As above
Disability	No	As above
Dependants	No	As above

# 3. To what extent is the policy likely to impact on good relations between people of different religious belief, political opinion, or racial group?

Category	Details of Policy Impact	Level of impact
		(major/minor/none)
Religious belief	None	None
Political opinion	None	None
Racial group	None	None

# 4. Are there opportunities to better promote good relations between people of different religious belief, political opinion or racial group?

Category	If yes, provide	If no, provide reasons
	details	
Religious belief		The policy has been written with in compliance with recommendations made by the Northern Ireland Audit Office and local government legislation. It does not have a specific impact on any equality category.
Political opinion		As above
Racial group		As above

### **Multiple Identity**

Generally speaking, people fall into more than one Section 75 category (for example: disabled minority ethnic people; disabled women; young Protestant men; young lesbian, gay and bisexual people). Provide details of data on the impact of the policy on people with multiple identities. Specify relevant s75 categories concerned.

NI/A		
N/A		
1 1// 1		

## **Disability Discrimination (NI) Order 2006**

Is there an opportunity for the policy to promote positive attitudes towards disabled people?
No
Is there an opportunity for the policy to encourage participation by disabled people in public life?
No
Screening Decision
A: NO IMPACT IDENTIFIED ON ANY CATEGORY - EQIA UNNECESSARY
Please identify reasons for this below
The Council may charge third parties, who transact with Council in respect of matters involving land, property and planning agreements, for the time incurred by the Council's legal team on that transaction or time incurred by an external legal adviser(s) (instructed by the Council to advise on a transaction). The re-charging of legal fees is to assist with protecting department budgets and the rate payer base. The policy has no bearing in terms of its likely impact on equality of opportunity or good relations for people within the equality and good relations categories.
B: MINOR IMPACT IDENTIFIED – EQIA NOT CONSIDERED NECESSARY AS IMPACT CAN BE ELIMINATED OR MITIGATED
Where the impact is likely to be minor, you should consider if the policy can be mitigated, or an alternative policy introduced. If so, an EQIA may not be considered necessary. You must indicate the reasons for this decision below, together with details of measures to mitigate the adverse impact or the alternative policy proposed.
C: MAJOR IMPACT IDENTIFIED – EQIA REQUIRED
If the decision is to conduct an equality impact assessment, please provide details of the reasons.
Timetabling and Prioritising

If the policy has been screened in for equality impact assessment, please answer the

following questions to determine its priority for timetabling the equality impact assessment.

On a scale of 1-3 with 1 being the lowest priority and 3 being the highest, assess the policy in terms of its priority for equality impact assessment.

#### **Policy Criterion**

Rating (1-3)

Effect on equality of opportunity and good relations Social need Effect on people's daily lives

The total rating score should be used to prioritise the policy in rank order with other policies screened in for equality impact assessment. This list of priorities will assist the Council in timetabling its EQIAs.

Is the policy affected by timetables established by other relevant public authorities? If yes, please give details.

### Monitoring

Effective monitoring will help the authority identify any future adverse impact arising from the policy. It is recommended that where a policy has been amended or an alternative policy introduced to mitigate adverse impact, monitoring be undertaken on a broader basis to identify any impact (positive or adverse).

Further information on monitoring is available in the Equality Commission's guidance on monitoring (<a href="www.equalityni.org">www.equalityni.org</a>).

Identify how the impact of the policy is to be monitored

Further changes may be required to the policy should legislation change.

#### **Approval and Authorisation**

A copy of the screening form for each policy screened should be signed off by the senior manager responsible for that policy. The screening recommendation should be reported to the relevant Committee/The Council when the policy is submitted for approval.

Screened by	Position/Job title	Date
	Legal, Compliance &	06/02/2025
Kate McCusker	Monitoring Officer	
Approved by	Position/Job Title	Date
Martina McNulty	Head of Department:	06/02/2025
	Strategy & Performance	

Please forward a copy of the completed policy and form to:

equality@armaghbanbridgecraigavon.gov.uk

who will ensure these are made available on the Council's website.

The above officer is also responsible for issuing reports on a quarterly basis on those policies "screened out for EQIA". This allows stakeholders who disagree with this recommendation to submit their views. In the event of any stakeholder disagreeing with the decision to screen out any policy, the screening exercise will be reviewed.

# **Appendix 3 - Rural Needs Impact Assessment (RNIA)**

**SECTION 1** 

Defining the activity subject	tto Section 1(1) of the Rural Nee	us Act (NI) 2016	
1A. Name of Public Authority: Armagh City, Banbridge & Craigavon Borough The Council			
•	itle which describes the activity b is subject to Section 1(1) of the R		
Legal Fees Policy			
1C Please indicate which to:	category the activity specified	in Section 1B above relates	
Developing a	Policy		
Adopting a Implementing a Revising a			
Designing a Public Service	e □		
Delivering a Public Service	e 🗆		
<u>-</u>	cial title (if any) of the Policy, Sting to the category indicated in S		
1E. Please provide details ( Public Service	of the aims and/or objectives of	the Policy, Strategy, Plan or	
The re-charging of legal fees rate payer base.	is to assist with protecting departm	nent budgets and the	
1F. What definition of 'rural' Plan or Public Service?	' is the Public Authority using in I	respect of the Policy, Strategy,	
Population Settlements of le	ess than 5,000 (Default definition		
Other Definition (Provide de	tails and the rationale below)		
A definition of 'rural' is not a	pplicable	$\boxtimes$	

Details of alternative definition of 'rural' used	
Rational for using alternative definition of 'rural'.	
Reasons why a definition of 'rural' is not applicable.	
This is a technical policy and not intended to impact on peo	pple in rural areas.
SECTION 2 Understanding the impact of the Policy, Strategy, Plan or I	Public Service
2A. Is the Policy, Strategy, Plan or Public Service likely to in people in rural areas?	mpact on
Yes □ No ⊠ If response is No g	jo to 2E
2B. Please explain how the Policy, Strategy, Plan or Public people in rural areas.	Service is likely to impact o
2C. If the Policy, Strategy, Plan or Public Service is likely to in differently from people in urban areas, please explain how in rural areas differently.	
2D. Please indicate which of the following rural policy area Public Service is likely to primarily impact on.	s the Policy, Strategy, Plan or
Rural Businesses	
Rural Tourism	
Rural Housing	
Jobs or Employment in Rural Areas	
Education or Training in Rural Areas	
Broadband or Mobile Communications in Rural Areas	
Transport Services or Infrastructure in Rural Areas	
Health or Social Care Services in Rural Areas	
Poverty in Rural Areas	
Deprivation in Rural Areas	

c	Safety		
Rural Development			
Agri-Environment			
Other (Please state)			
If the response to Section 2	2A was YES GO	O Section 3A.	
2E. Please explain why the on people in rural areas.	Policy, Strategy,	Plan or Public Service is	NOT likely to impac
· ·	•	ensure compliance with ntended to impact on peop	•
SECTION 3 Identifying the Social and	d Economic Need	ls of Persons in Rural Are	as
3A. Has the Public Author people in rural areas that a	•	•	
Yes □ No	⊠ If r	esponse is No go to 3E	
3B. Please indicate which the Public Authority to ide			
Consultation with Rural Sta	ıkeholders		
	ranisations		
Consultation with Other org	jai ii Jatioi iJ		
Consultation with Other org Published Statistics	jarnoationo		
Published Statistics	gamoations	_	
Published Statistics Research Papers	•		
Published Statistics	•		
Published Statistics Research Papers Surveys or Questionnaires			
Published Statistics Research Papers Surveys or Questionnaires Other Publications	on Sources		

3D. Please provide details of the social and economic needs of people in rural areas which have been identified by the Public Authority?
If the response to Section 3A was YES GO TO Section 4A.
3E. Please explain why no steps were taken by the Public Authority to identify the social and economic needs of people in rural areas?
This is a technical policy written to ensure compliance with statutory requirements and good practice. It is not intended to impact on people in rural areas.
SECTION 4 Considering the Social and Economic Needs of Persons in Rural Areas
4A. Please provide details of the issues considered in relation to the social and economic needs of people in rural areas.  N/A
SECTION 5 Influencing the Policy, Strategy, Plan or Public Service
5A. Has the development, adoption, implementation or revising of the Policy, Strategy of Plan, or the design or delivery of the Public Service, been influenced by the rural needs identified?
Yes □ No ⊠ If response is No go to 5C
5B. Please explain how the development, adoption, implementation or revising of the Policy, Strategy or Plan, or the design or delivery of the Public Service, has been influenced by the rural needs identified.
If the response to Section 5A was YES go to 6A.

5C. Please explain why the development, adoption, implementation or revising of the Policy, Strategy or Plan, or the design or the delivery of the Public Service, has NOT been influenced by the rural needs identified.

This is a technical policy written to ensure compliance with statutory requirements and good practice. It is not intended to impact on people in rural areas.

## **SECTION 6**

# **Documenting and Recording**

6A. Please tick below to confirm that the RNIA Template will be retained by the Public Authority and relevant information on the Section 1 activity compiled in accordance with paragraph 6.7 of the guidance.

I confirm that the RNIA Template will be retained,	and relevant information compiled.
Rural Needs Impact Assessment undertaken by Position: Department / Directorate:	y: Kate McCusker Legal, Governance & Monitoring Officer Monitoring Office – Strategy & Performance
Signature:	Kate McCusker
Date: Rural Needs Impact Assessment approved by: Position: Department / Directorate:	06/02/2024 Martina McNulty Head of Dept: Strategy & Performance Strategy & Performance
Signature:	Hartena Herbulty
Date:	06/02/2024